Flexibility and rigidity in contact and symplectic geometry

Oleg Lazarev UMass Boston Mathematics Department

The Haitian Scientific Society

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Rolling without slipping

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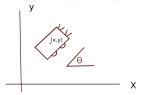
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Consider a car in ℝ² with position x, y and angle θ with the x-axis; configuration space is {(x, y, θ)} = ℝ² × S¹

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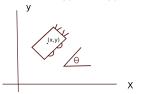
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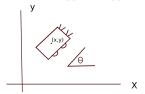


If the car *slips*, its path (x(t), y(t), θ(t)) can be arbitrary; for example (t, 0, π/4).

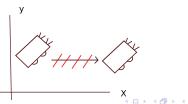
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- A path $(x(t), y(t), \theta(t))$ is non-slipping if tangent to 2-planes

$$\xi^2 := \{ \text{vectors } v \text{ at } (x, y, \theta) \text{ so } v_y = \tan(\theta)v_x \} = \ker(dy - \tan(\theta)dx)$$

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► Question: can any path in R³ be approximated by the motion of a non-slipping car?

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Formal/genuine functions

• Graph of function z(x) with its derivative: $(x, \frac{dz}{dx}, z(x)) \subset \mathbb{R}^3_{x,p,z}$

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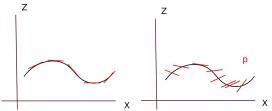
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Decouple derivative from the function and graph 'formal functions' (x, p(x), z(x)) ⊂ ℝ³

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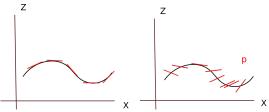
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(x, y(x), z(x)) ⊂ ℝ³ is graph of 'genuine' function if dz/dx = p,
 i.e. tangent to the hyperplane distribution ξ² := ker(dz − pdx)

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Formal/genuine functions, II

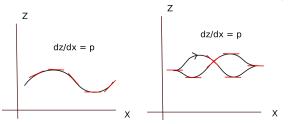
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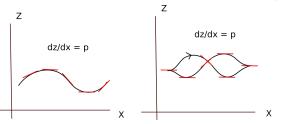


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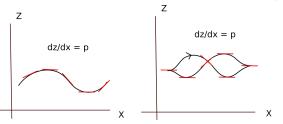
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• **Example:** replace ODE $(\frac{df}{dx})^2 + f(x)^2 \frac{df}{dx} = x^5$ with algebraic equation $p^2 + pz^2 = x^5$; curves in this hypersurface tangent to ξ are solutions to the ODE

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Contact distribution

Contact distribution ξ and subspaces tangent to ξ are key objects.

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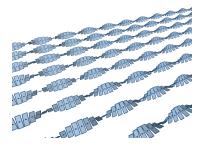


Figure: Contact distribution $\xi_{std} = \ker(dz - ydx) \subset T\mathbb{R}^3$, image by P. Massot.

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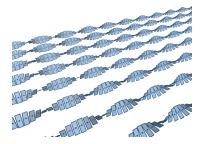


Figure: Contact distribution $\xi_{std} = \ker(dz - ydx) \subset T\mathbb{R}^3$, image by P. Massot.

Observe that the contact planes ξ are very twisted (maximally non-integrable). Largest subspace that is tangent to ξ is 1-dimensional!

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Contact geometry

• **Definition:** a contact structure ξ on a manifold Y^{2n+1} is a 2n-plane distribution $\xi^{2n} = \ker(\alpha)$ for a 1-form α with $\alpha \wedge (d\alpha)^n \neq 0$, maximally non-integrable

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- Observation: α ∧ (dα)ⁿ ≠ 0 is a differential inequality, not easy to find solutions.
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- Examples: (\mathbb{R}^{2n+1},ξ) , 1-jet space $J^1(M) = T^*M \times \mathbb{R}$

 $T^*M \times \mathbb{R} = \{ \text{point } x \text{ in } M, (co) \text{tangent vector } p \text{ at } x, \text{ and number} \}$

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The (universal cover of the) previous two examples are contactomorphic: exists a map φ : (M, ξ_M) → (N, ξ_N) taking ξ_M to ξ_N

Isotropics

- ► $\xi = \ker \alpha$ is contact structure, i.e. maximally non-integrable 2*n*-plane distribution on Y^{2n+1}
- **Definition:** $\Lambda^k \subset (Y^{2n+1}, \xi)$ is *isotropic* if Λ is tangent to ξ

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- **Definition:** $\Lambda^k \subset (Y^{2n+1}, \xi)$ is *isotropic* if Λ is tangent to ξ
- Non-slipping car and graph of a genuine function are isotropics
- Basic but important linear algebra fact: if Λ^k ⊂ (Y²ⁿ⁺¹, ξ) is isotropic, then k ≤ n (called Legendrian if k = n). Intuition: contact distribution is maximally non-integrable.

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- Finding isotropics is equivalent to solving a PDE given by α, not easy! Ex. dy/dx = tan(θ), or dz/dx = y

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Classical flexibility results

Flexibility = topological phenomenon in contact geometry

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- ► **Darboux's theorem:** any contact manifold is locally contactomorphic to $(\mathbb{R}^{2n+1}, \xi_{standard} = dz \sum_{i=1}^{n} y_i dx_i)$

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- Gray stability theorem: if (Y, ξ_t) is deformation of contact structures on a closed manifold Y, then all equivalent, i.e. exists maps φ_t : Y → Y taking ξ to ξ_t.
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- Weinstein neighborhood theorem: any Legendrian Λⁿ ⊂ (Y²ⁿ⁺¹, ξ) has a neighborhood that is equivalent to neighborhood of Λ in 1-jet space J¹(Λ)

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- h-principle is an example of flexibility

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Partial Differential Relations in contact topology

Definition: A formal contact structure is a 1-form α and a 2-form ω so that α ∧ ωⁿ ≠ 0 (i.e. a non-degenerate 2-form ω on ker α). However, do not require ω ≠ dα

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- Question: does h-principle hold for contact structures or isotropic submanifolds?
- Can a formal isotropic be deformed to a genuine isotropic?

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Flexibility for isotropics

Gromov 1970's: h-principle for subcritical isotropics: two formally isotopic Λ^k₁, Λ^k₂ ⊂ (Y²ⁿ⁺¹, ξ) with k < n are genuinely isotopic</p>

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- ▶ Definition: a Legendrian Λⁿ ⊂ Y²ⁿ⁺¹ is *loose* if n ≥ 2 and it has a 'zig-zag' in its xz-projection



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Figure: Loose chart, i.e. zig-zag, pictured in \mathbb{R}^2_{xz}

Loose Legendrians

► Murphy's h-principle for loose Legendrians 2012: formally isotopic loose Legendrians (in dimensions n ≥ 2) are Legendrian isotopic; any smooth embedding can be C⁰-approximated by a loose Legendrian.

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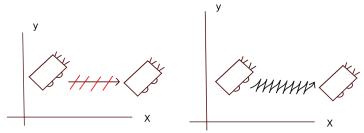


Figure: Approximating slipping path $(t, 0, \pi/4)$ by non-slipping path

Rigidity in contact geometry

 Gromov 1985: There are non-local, deformation stable invariants of contact manifolds, Legendrians

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Rigidity in contact geometry

Gromov 1985: There are non-local, deformation stable invariants of contact manifolds, Legendrians called *contact homology* and *Legendrian contact homology* LCH, Gromov-Witten type invariant defined using J-holomorphic curves. Related to wrapped Fukaya category, mirror symmetry, string theory...

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Rigidity in contact geometry

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- Many Legendrian knots in (R³, ξ_{std}) are formally isotopic but not Legendrian isotopic, distinguished by LCH; h-principle fails



Figure: Chekanov Legendrians in \mathbb{R}^2_{xz} ; images due to John Etnyre

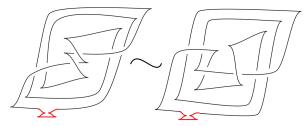
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LCH vanishes for loose Legendrians! Existence of (local) zig-zag kills all symplectic geometry!

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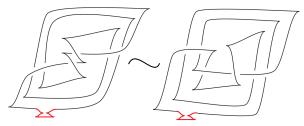
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Open problem: If Λ has vanishing LCH, is it loose?

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Interpolating between flexibility and rigidity for Legendrians

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- Observation: transformation Legendrian Λ to Λ_{loose} is idempotent (Λ_{loose})_{loose} = Λ_{loose} and makes LCH(Λ_{loose}) = 0.

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- Theorem (L., with Sylvan and Tanaka) for any Legendrian Λ in (Y²ⁿ⁺¹, ξ), n ≥ 3, and any integer P, there is a 'P-loose' Legendrian Λ_P formally isotopic to Λ with (Λ_P)_P ≅ Λ_P and LCH(Λ_P) ≅ LCH(Λ)[¹/_P]

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- Furthermore, If P = 0, then $\Lambda_0 = \Lambda_{loose}$; if P = 1, then $\Lambda_1 = \Lambda$

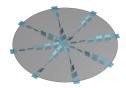
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- Furthermore, If P = 0, then $\Lambda_0 = \Lambda_{loose}$; if P = 1, then $\Lambda_1 = \Lambda$
- Motivated by construction in classical topology called rational homotopy theory.

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Rigidity in contact geometry, II

Similarly, many contact structures are formally contactomorphic but not contactomorphic





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Figure: Standard and overtwisted structures; images due to P. Massot

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Figure: Standard and overtwisted structures; images due to P. Massot

► Theorem(L.) for a large class of smooth manifolds Y²ⁿ⁺¹, n ≥ 3, there are infinitely many contact structures.

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Rigidity in contact geometry, II

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Figure: Standard and overtwisted structures; images due to P. Massot

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- ► Theorem(L.) for a large class of smooth manifolds Y²ⁿ⁺¹, n ≥ 3, there are infinitely many contact structures.
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- Question: what is the boundary between rigidity and flexibility in contact geometry?

Symplectic manifolds

 Symplectic manifolds are even-dimensional siblings of contact manifolds

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- Symplectic Darboux theorem: any symplectic manifold (M, ω) is locally (ℝ²ⁿ, Σⁿ_{i=1} dq_i ∧ dp_i)

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Symplectic manifolds, II

Ex. phase space $T^*M = \{\text{point } x \text{ in } M \text{ and covector } p \text{ at } x\}$

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Ex. phase space T*M = {point x in M and covector p at x}
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Symplectic manifolds, II

- ▶ **Ex.** phase space $T^*M = \{\text{point } x \text{ in } M \text{ and covector } p \text{ at } x\}$
- ► **Ex.** if (Y^{2n+1}, ξ) is a contact manifold, then $(Y^{2n+1}, \xi) \times \mathbb{R}$ is symplectic
- As for contact structures, one can define isotropics, formal symplectic structure, discuss flexibility/rigidity...

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Hamiltonian dynamics on symplectic manifolds

To any function H : (M, ω) → ℝ can associate a (Hamiltonian) vector field X_H on M; closed trajectories are called Hamiltonian orbits.

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- Ex. H(q, p) = V(q) + ^{p²}/_{2m} : ℝ²ⁿ_{q,p} → ℝ has Hamiltonian vector field X_H whose trajectories satisfy two first-order differential equations

$$\frac{dq}{dt} = \frac{p}{m}$$
 and $\frac{dp}{dt} = -\frac{\partial V}{\partial q}$ (1)

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- To any function H : (M, ω) → ℝ can associate a (Hamiltonian) vector field X_H on M; closed trajectories are called Hamiltonian orbits.
- **Ex.** $H(q, p) = V(q) + \frac{p^2}{2m} : \mathbb{R}^{2n}_{q,p} \to \mathbb{R}$ has Hamiltonian vector field X_H whose trajectories satisfy two first-order differential equations

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- Can be converted into Newton's equation F = ma with force $F = -\frac{\partial V}{\partial a}$
- J-holomorphic curve invariants like Floer theory can give non-trivial lower bounds on the number of closed Hamiltonian orbits

Weinstein domains

An exact symplectic manifold (M²ⁿ, dα) has contact boundary if (∂M, ker α) is a contact manifold

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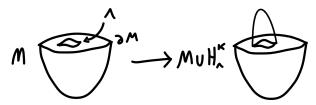


Figure: Weinstein handle attachment

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Weinstein domains, II

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- Example: $T^*S^n = B^{2n} \cup H^n_{\Lambda_{unknot}}$
- Theorem (Mclean) There are infinitely many Weinstein structures on B²ⁿ.

Flexibility for Weinstein domains

▶ Definition: a Weinstein domain W²ⁿ, n ≥ 3 is flexible if all n-handles are attached along loose Legendrians

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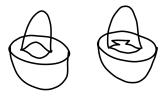


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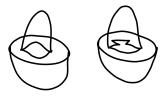


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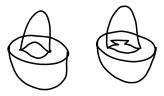


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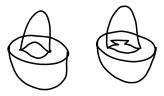


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- ► Theorem (L.) Suppose that W₁, W₂ are flexible with different topologies. Then ∂W₁, ∂W₂ have different contact structures.
- Use flexible techniques to create rigid contact structures.

Modifying Weinstein presentations

 Can modify Weinstein presentation by doing handle-slides and create/cancel handles; easy to create more handles

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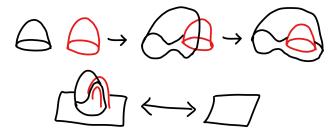


Figure: Handle-slides and handle cancellation/creation

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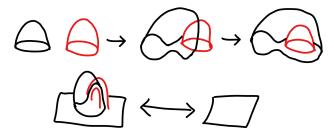


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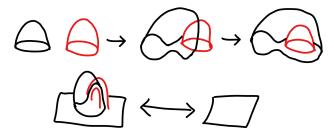


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 WCrit(W) := minimum number of Weinstein handles for W Crit(W) := minimum number of smooth handles
 WCrit(M) ≥ Crit(M) ≥ rank H*(M; Z)

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- Flexibility implies structural results on rigid invariants, for example bounds on number of generators of Fukaya category.
- Question: what is the interaction between symplectic flexibility and rigidity?

Thank You!

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